

The ALES+ SAR Service for Cryosat-2 and Sentinel-3 at ESA GPOD

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- The ALES+ SAR retracker
- Validation Activity - Area of Interest & Available Data
- Methodology
- Results
- Conclusions & the ALES+ SAR in GPOD

- Water level from sea ice-covered oceans is particularly challenging to retrieve with satellite radar altimeters due to the different shapes assumed by the returned signal compared with the standard open ocean waveforms. Valid measurements are scarce in large areas of the Arctic and Antarctic Oceans, because sea level can only be estimated in the openings in the sea ice (leads and polynyas). Similar signal-related problems affect also measurements in coastal and inland waters.
- In Passaro et. al 2018, the ALES+ retracking strategy, based on a sub-waveform retracker that is able to adapt the fitting of the signal depending on the sea state and on the slope of its trailing edge, was presented. The algorithm modifies the existing Adaptive Leading Edge Subwaveform retracker originally designed for coastal waters (Passaro et. al, 2014), and was applied to ENVISAT and ERS-2 missions.
- In the frame of the current ESA Baltic+ SEAL project (<http://balticseal.eu/>), the ALES+ retracker has been further developed and extended to all the missions considered (ERS-2, ENVISAT, Jason-1/2/3, SARAL/AltiKa, Cryosat-2, Sentinel-3A/B).

In particular,

- **ALES+ for LRM** is based on the Brown-Hayne (Brown, 1977; Hayne, 1980) functional form that models the radar returns from the ocean to the satellite.
- **ALES+ for SAR** adopts a simplified version of the Brown-Hayne functional form as an empirical retracker to track the leading edge of the waveform. This empirical application of the Brown-Hayne model implies that ALES+ cannot estimate a physical value of SWH and of σ_0 . Nevertheless, the retracker is fully able to track the mid-point of the leading edge.

It is important to underline that in the original SAR altimetry products, the Sea State Bias correction is either missing (Cryosat-2) or computed using the Jason model.

In the Baltic+ SEAL Project instead, a first SSB model is computed specifically for the ALES+ SAR retracker.

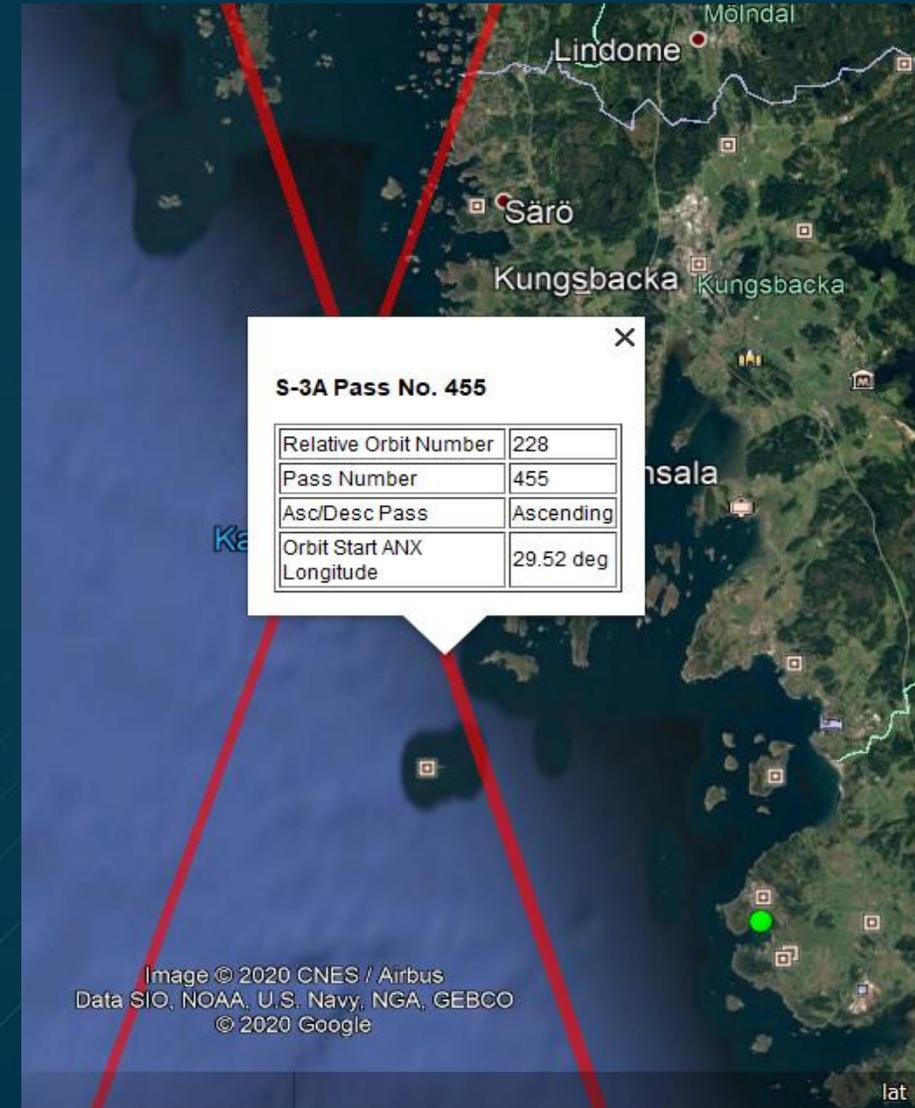
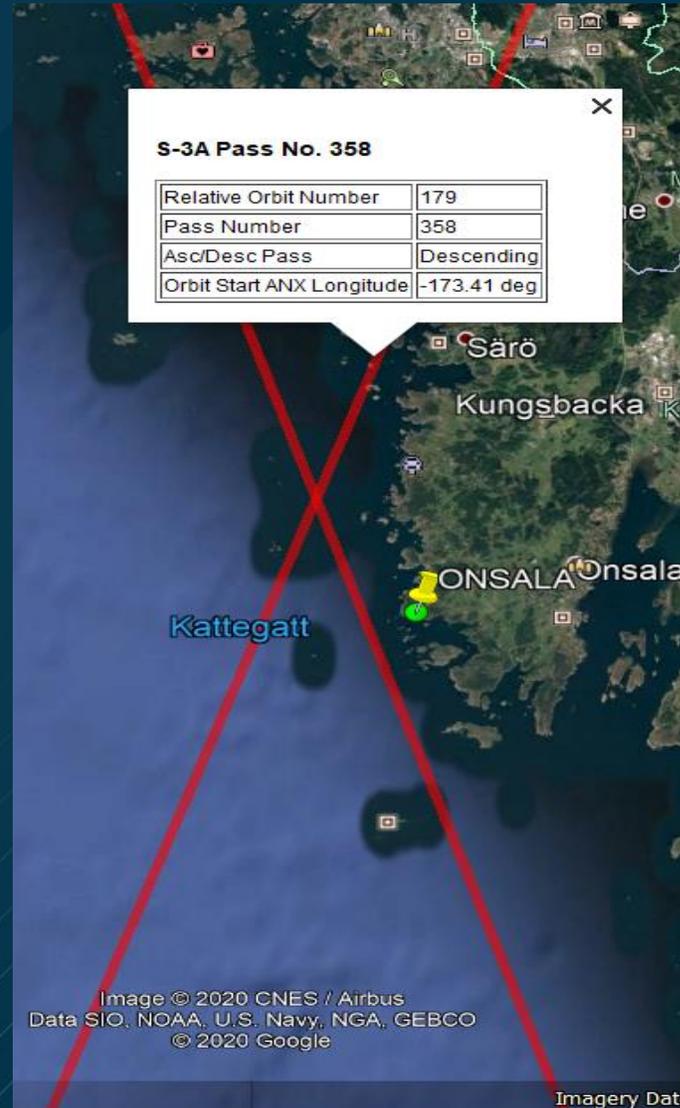
More information on the ALES+ retracker can be found in the Algorithm Theoretical Baseline Document (ATBD) of the Baltic+ SEAL project.

Validation Activity

Area of Interest & Available Data

Area of Interest & Available Sentinel-3A Data

- Onsala
- TG providing hourly data.
- 2 orbits available (179,228)
- 33 tracks (ascending)
- 33 tracks (descending)
- B+S ALES+ SAR & TG data correctly downloaded from the Project website.
- Validation data downloaded from the ESA GPOD SARvatore for Sentinel-3 online & on demand processing system.



Area of Interest & Available Data (2)

- ESA GPOD SARvatore for S3A data have been processed using the “Coastal Zone” processing profile and the SAMOSA+ analytical retracker.

L1B Processor:

- **Data Posting Rate**
Flag to set the data posting rate: 20 Hz (canonic posting rate) or 80 Hz (finer posting rate)
- **Range Walk Correction**
Flag to set the application of the Range Walk on the burst data
- **Hamming Weighting Window**
Flag to set the application of the Hamming Weighting Window on the burst data (section 4.4 in REF1)
- **Exact Beam-Forming**
Flag to set the application of exact or approximated Doppler Beam Steering (section 4.4 in REF1)
- **FFT Zero-Padding**
Flag to operate the Zero-Padding prior to the range FFT (section 4.8 in REF1). Zero-Padding is indicated for coastal zone analysis
- **Radar Receiving Window Size**
Flag to select the size of the radar receiving window: 128 range bins (standard) or 128 x N range bins (extended N times). Extended window with N=2 is indicated for coastal zone and sea ice analysis. N>2 may be indicated only for inland water over very steep topographic regions.
- **Stack Subset**
Subset the Stack to Looks: [100, 120, 140, 160, 180, ALL]
- **Antenna Pattern Compensation**
Flag to activate the antenna pattern compensation on the Stack Data
- **Dump SAR Stack Data in output**
Flag to dump the SAR Stack Data in the output package. Be aware that SAR Stack Data are bulky data products (around 1 GB for single pass); do not process them massively but limit yourself at around 10/20 passes at the time

L2 Processor:

- **Restrict the re-tracking on specific surfaces**
Flag to limit the processing on open sea or on water (open sea, coastal zone and inland water) or to process the full pass
- **PTR width alphap parameter**
Use a LUT (Look-Up Table) or a constant for PTR (Point Target Response) alphap parameter
- **SAMOSAs Model Generation**
Flag to select the generation of the SAMOSA model to use in the re-tracking. SAMOSA3 is a truncated version (only zero order term) of SAMOSA2 (REF2), SAMOSA+ is the SAMOSA2 model tailored for inland water, sea ice and coastal zone domain
- **Dump RIP in output**
Flag to append Range Integrated Power (RIP) in the output netCDF data product
- **Dump SAR Echo Waveforms in output**
Flag to append the SAR Echo Waveforms in the output netCDF data product
- **Single-look or Multi-look Model**
Flag to set the application of the Model Multilooking (Single-Look or Multi-Look). Single-Look option is indicated for quick look operations while Multi-Look is the most accurate
- **Choose the default Tide Model**
Choose the default Tide model between FES2014b, TPX08-ATLAS and TPX09-ATLAS
- **Choose the default Mean Sea Surface Model**
Choose the default Mean Sea Surface Model between DTU18, DTU15 and CLS-CNES15

- SLA_{io} from Dinardo et al. 2020 has been computed to compare to TG data:

$$\text{SSH}_i = h - R - \text{dry_tropo} - \text{wet_trop} - \text{load_tide} \\ - \text{iono_delay} - \text{solidearth_tide} - 0.468 \\ \cdot \text{pole_tide} - \text{ssb}$$

$$\text{SLA}_{io} = \text{SSH}_i - \text{MSS} - \text{equi_tide}$$

- The fields in yellow have been extracted from the GPOD SARvatore 1Hz data interpolating on the respective 20Hz latitudes.

R & ssb are taken from the respective datasets.
MSS is from the B+S project (v2)

Filtering strategy:

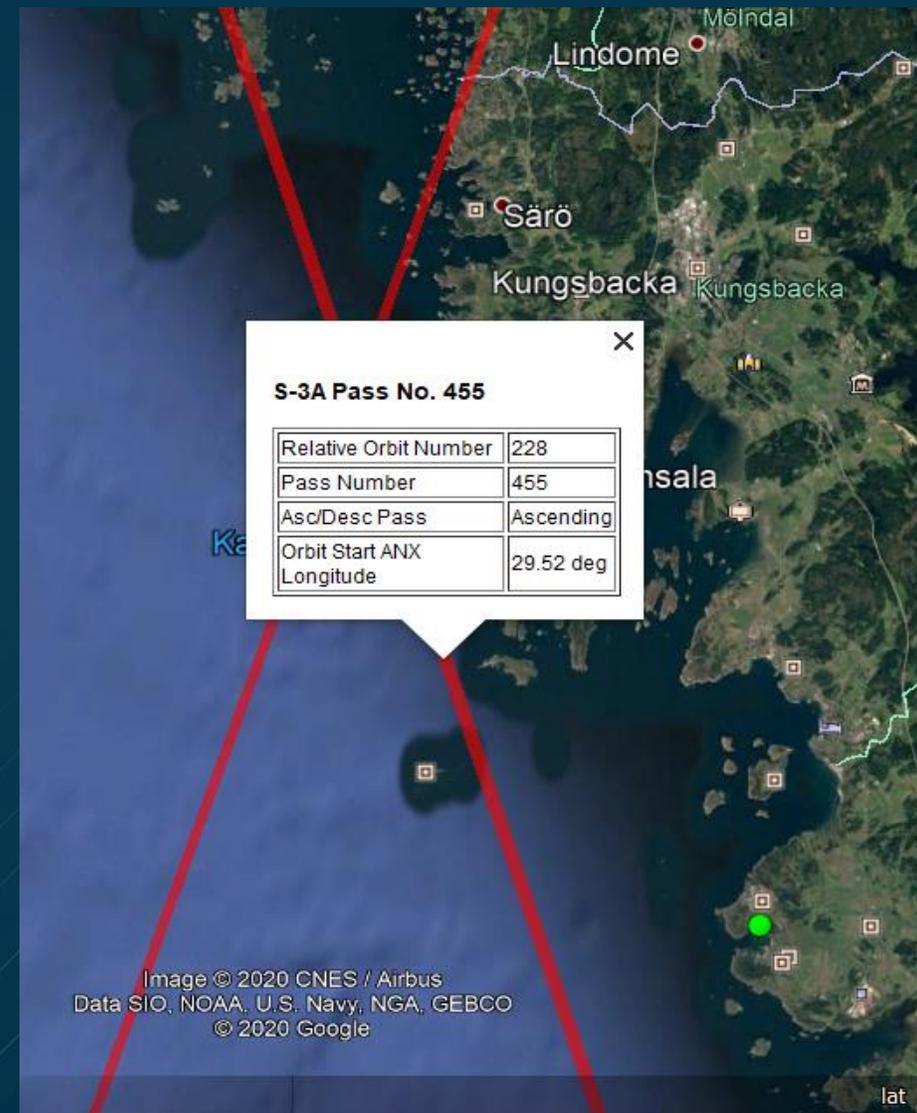
- **1st** : misfit filtering (GPOD data $\text{misfit} < 3$, ALES+ SAR $\text{ralterr} < 0.1$, as suggested in the B+S user manual) and excluding all points for which $|\text{SLA}_{\text{io}}| > 2 \text{ m}$.
- **2nd**: Data are grouped in terms of distance to the TG from 0 to 50km in 5 km sectors and filtered in each sector independently excluding values outside the **median** ± 3 times the standard deviation. The number of accepted points, their median & demeaned values are later calculated for each 5km interval.

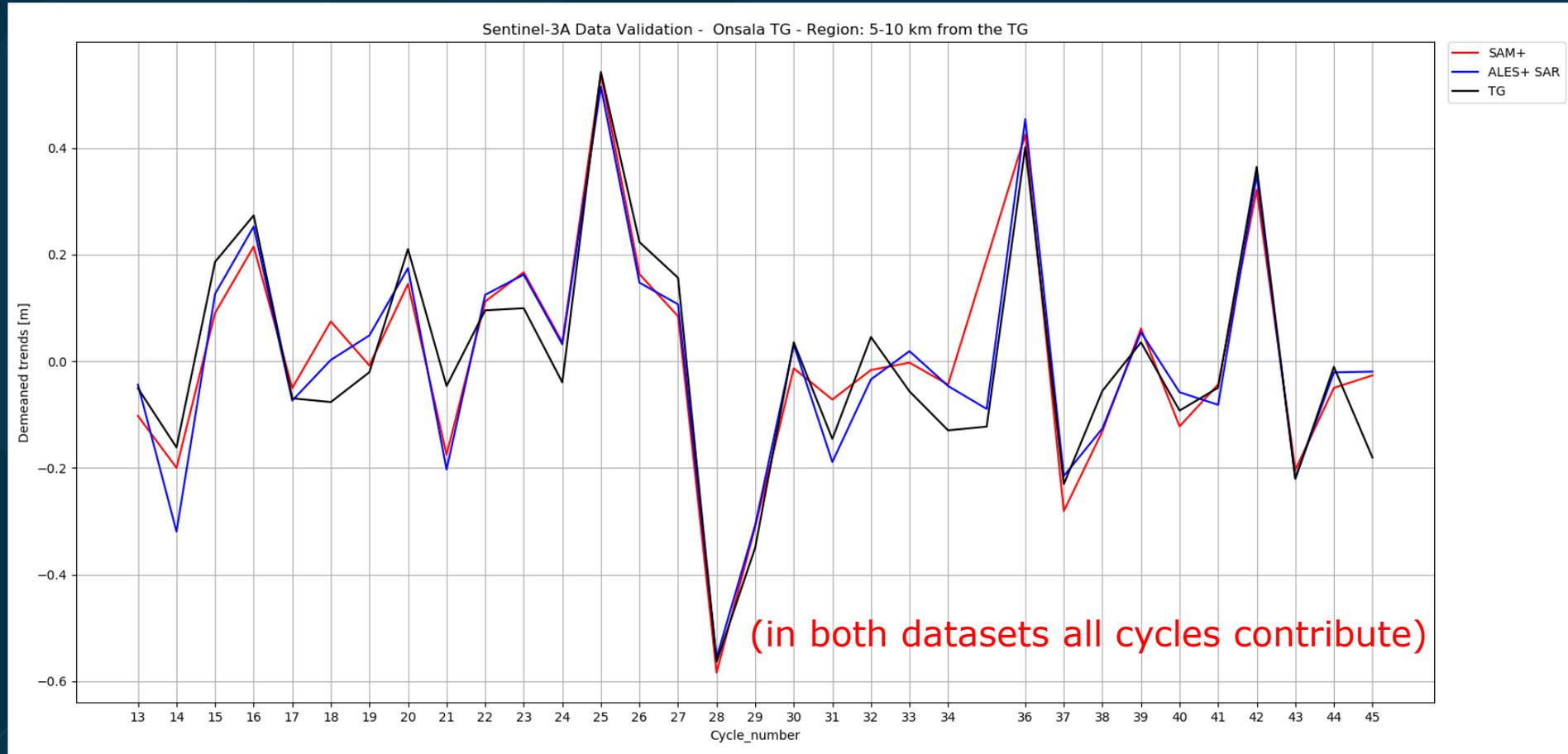
Validation strategy:

- Each altimetry pass lasts a few minutes. TG data are sampled hourly which is not optimal.
- Following what done in Dinardo et al. 2020: ***“For a given in situ station, the lag in time between in situ and altimeter data is selected to be less than 30 min.”***
- Correlation ‘r’ and RMSE are evaluated between filtered altimetry data and TG data.

Results – Multiple Tracks

33 Ascending Tracks



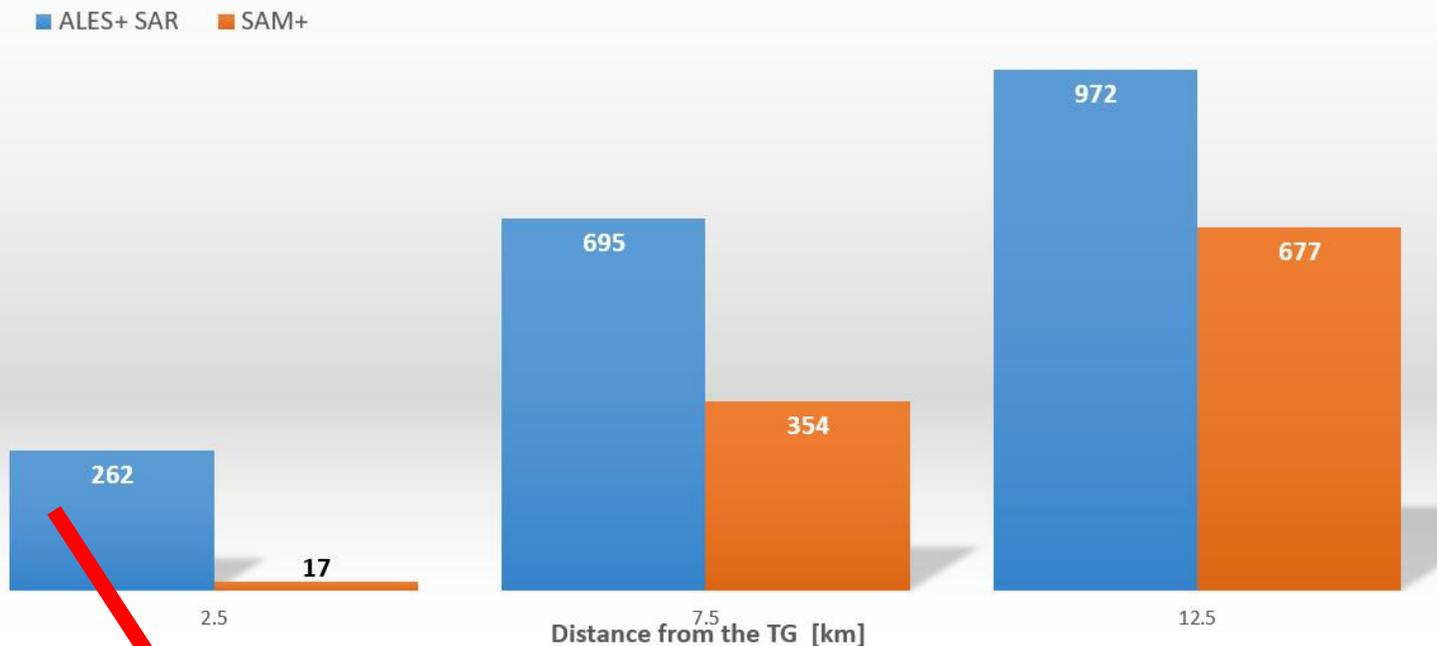


ALES+ SAR: $r = 0.95216$
SAM+ : $r = 0.95222$

RMSE: 0.06636
RMSE: 0.06650

Results closer to the coast: 0-5Km

Nr of points in the first 3 bins (0-15 km distance from the TG)



ALES+ SAR: $r = 0.780$ RMSE: 0.227 m (all cycles)
 SAM+ : $r = 0.996$ RMSE: 0.027 m (4 cycles)

ALES RMSE			
Cycle Nr.	Pass Nr.	0-5 km	5-10km
13	455	0.098	0.167
14	455	0.371	0.142
15	455	0.470	0.088
16	455	0.055	0.087
17	455	0.097	0.064
18	455	0.116	0.072
19	455	0.541	0.102
20	455	0.344	0.127
21	455	0.338	0.306
22	455	0.354	0.143
23	455	0.209	0.059
24	455	0.210	0.156
25	455	0.718	0.069
26	455	0.238	0.125
27	455	0.092	0.102
28	455	0.266	0.060
29	455	0.079	0.094
30	455	0.061	0.110
31	455	0.392	0.124
32	455	0.400	0.070
33	455	0.363	0.063
34	455	0.378	0.109
35	455	0.142	0.157
36	455	0.116	0.101
37	455	0.158	0.074
38	455	0.234	0.049
39	455	0.501	0.067
40	455	0.296	0.120
41	455	0.350	0.203
42	455	0.087	0.065
43	455	0.428	0.051
44	455	0.144	0.073
45	455	0.451	0.088

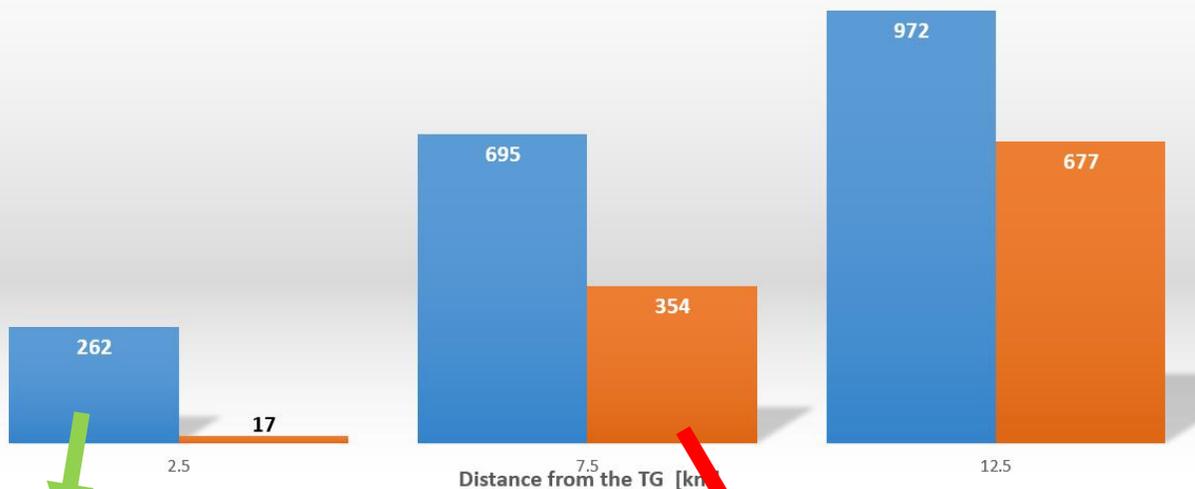
SAM+ RMSE			
Cycle Nr.	Pass Nr.	0-5 km	5-10km
13	455	nan	0.125
14	455	nan	0.047
15	455	0.026219	0.075
16	455	0.07409	0.095
17	455	nan	0.059
18	455	nan	0.089
19	455	nan	0.033
20	455	nan	0.075
21	455	nan	0.025
22	455	nan	0.162
23	455	nan	0.086
24	455	nan	0.068
25	455	nan	0.088
26	455	nan	0.096
27	455	0.043293	0.085
28	455	nan	0.027
29	455	nan	0.141
30	455	nan	0.160
31	455	nan	0.109
32	455	nan	0.039
33	455	nan	0.040
34	455	nan	0.047
35	455	nan	nan
36	455	0.062058	0.107
37	455	nan	0.098
38	455	nan	0.080
39	455	nan	0.048
40	455	nan	0.137
41	455	nan	0.080
42	455	nan	0.071
43	455	nan	0.056
44	455	nan	0.094
45	455	nan	0.068

With (left) & Without (right) MISFIT filtering:

Filtering on SLA & Median $\pm 3 \times \text{STD}$ is sufficient to obtain good results.

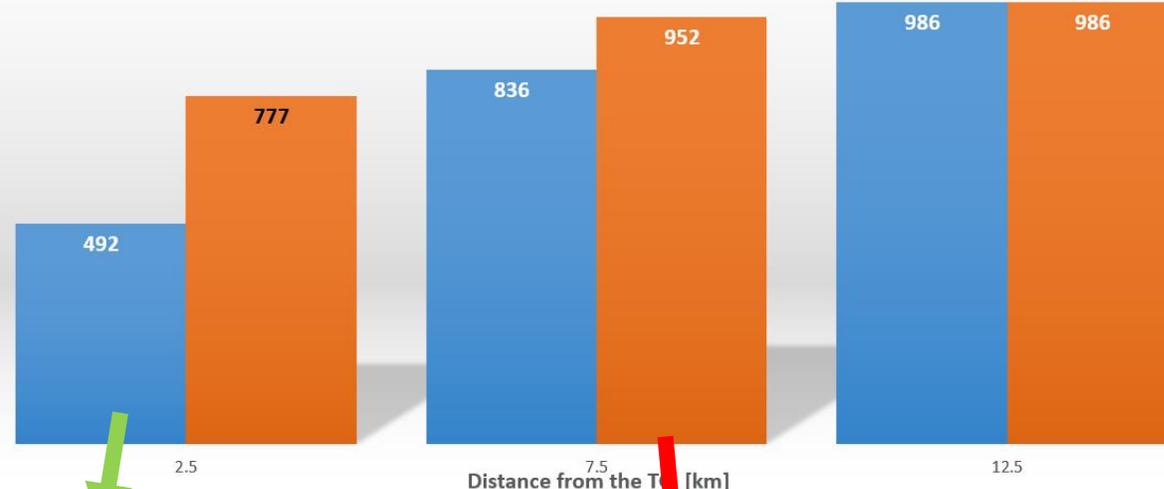
Nr of points in the first 3 bins (0-15 km distance from the TG)

■ ALES+ SAR ■ SAM+



Nr of points in the first 3 bins (0-15 km distance from the TG)

■ ALES+ SAR ■ SAM+

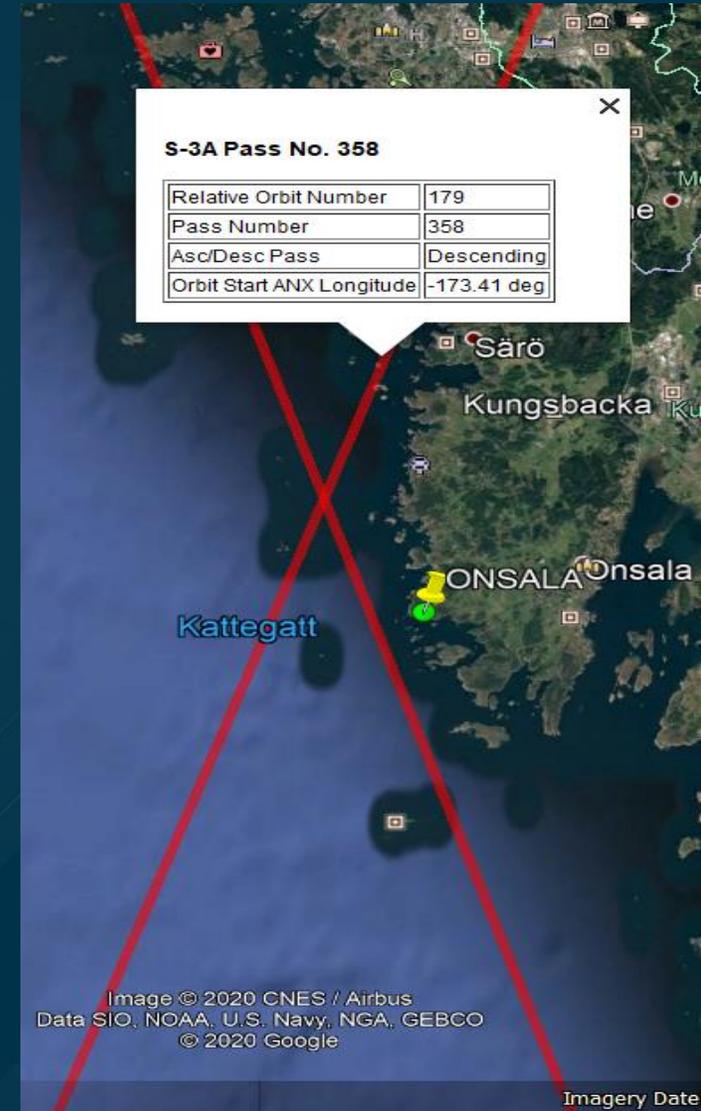


ALES+ SAR: $r= 0.780$ RMSE: 0.227 m
 SAM+ : $r= 0.996$ RMSE: 0.027 m
 ALES+ SAR: $r= 0.95216$ RMSE: 0.06636
 SAM+ : $r= 0.95222$ RMSE: 0.06650

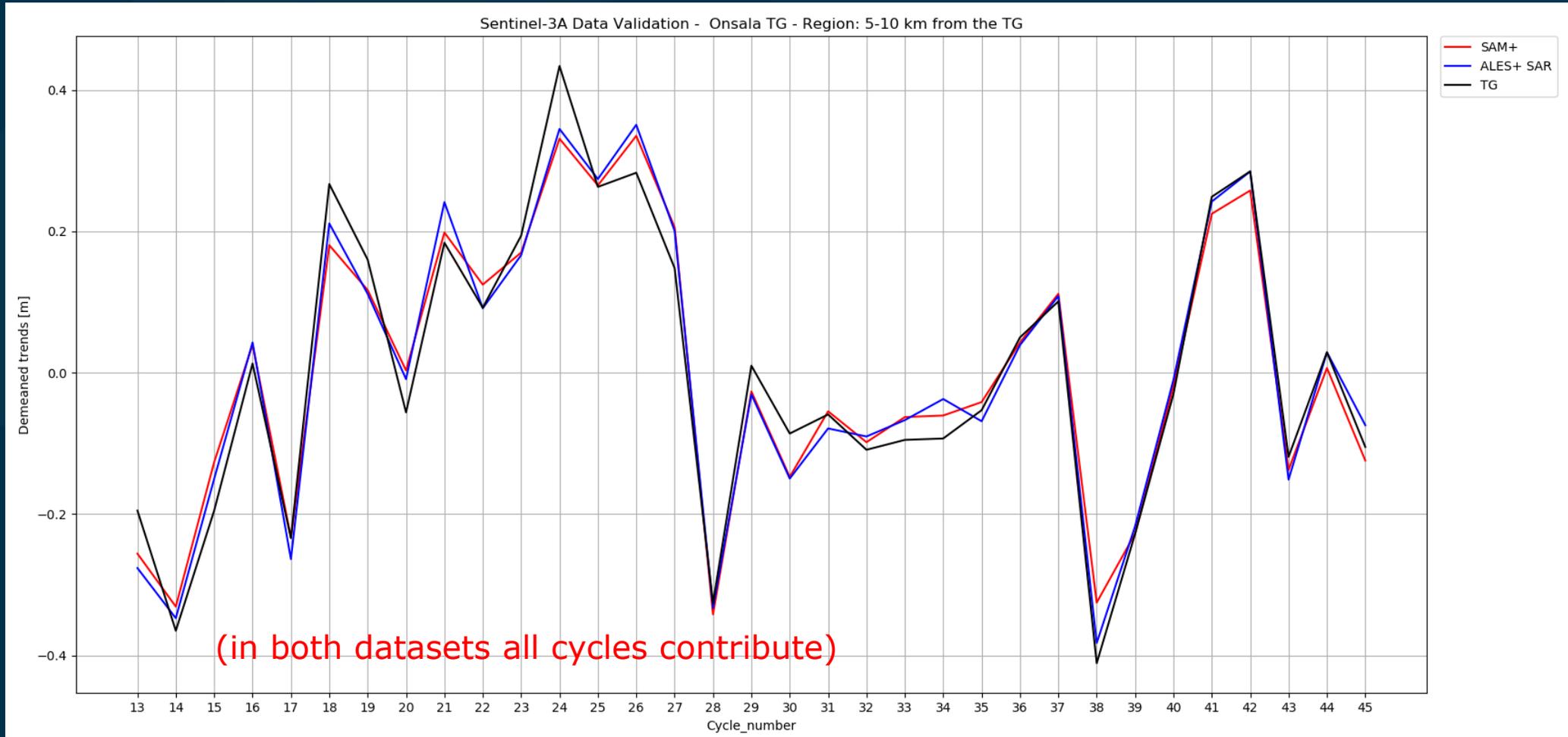
ALES+ SAR: $r= 0.842$ RMSE: 0.182 m
 SAM+ : $r= 0.857$ RMSE: 0.160 m
 ALES+ SAR: $r= 0.9392$ RMSE: 0.0767
 SAM+ : $r= 0.9555$ RMSE: 0.0638

Results – Multiple Tracks

33 Descending Tracks



Results: 5-10Km sector



ALES+ SAR: $r= 0.9807$

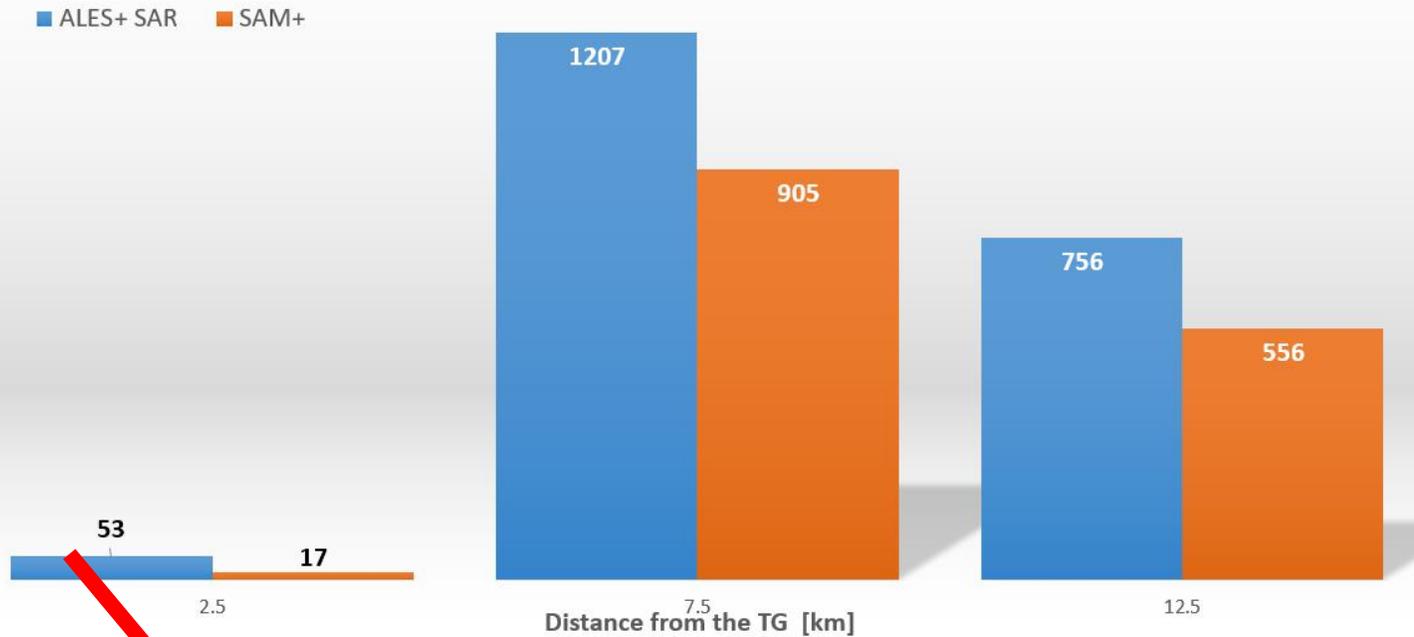
RMSE: 0.03972

SAM+ / ++ : $r= 0.9786$

RMSE: 0.04247

Results: 0-5Km sector

Nr of points in the first 3 bins (0-15 km distance from the TG)



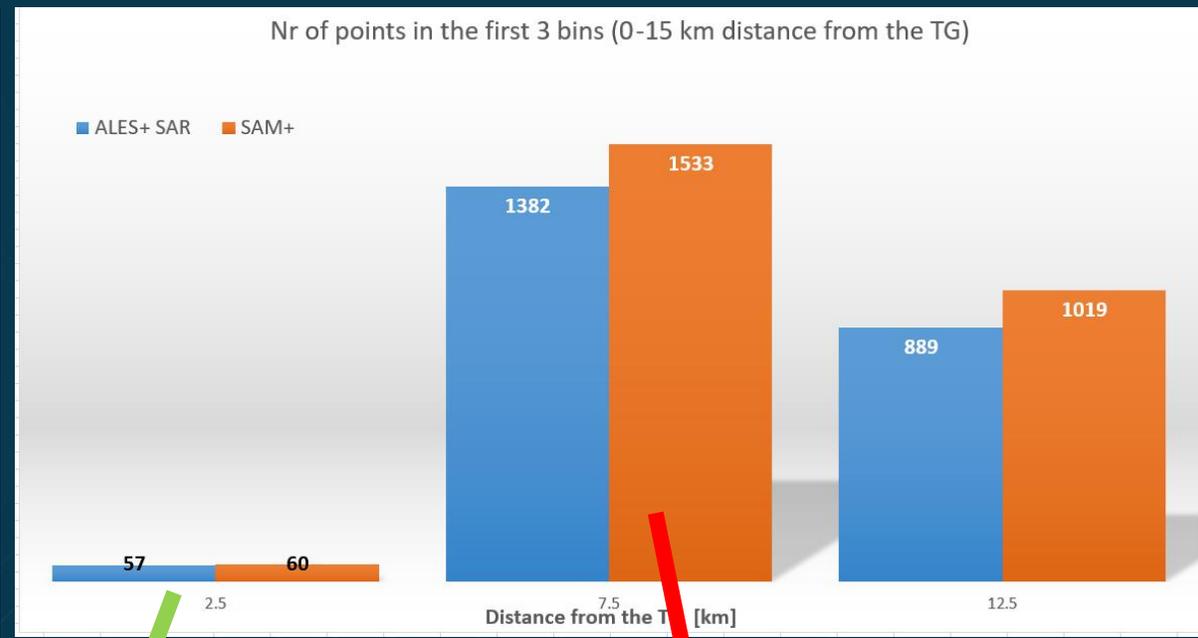
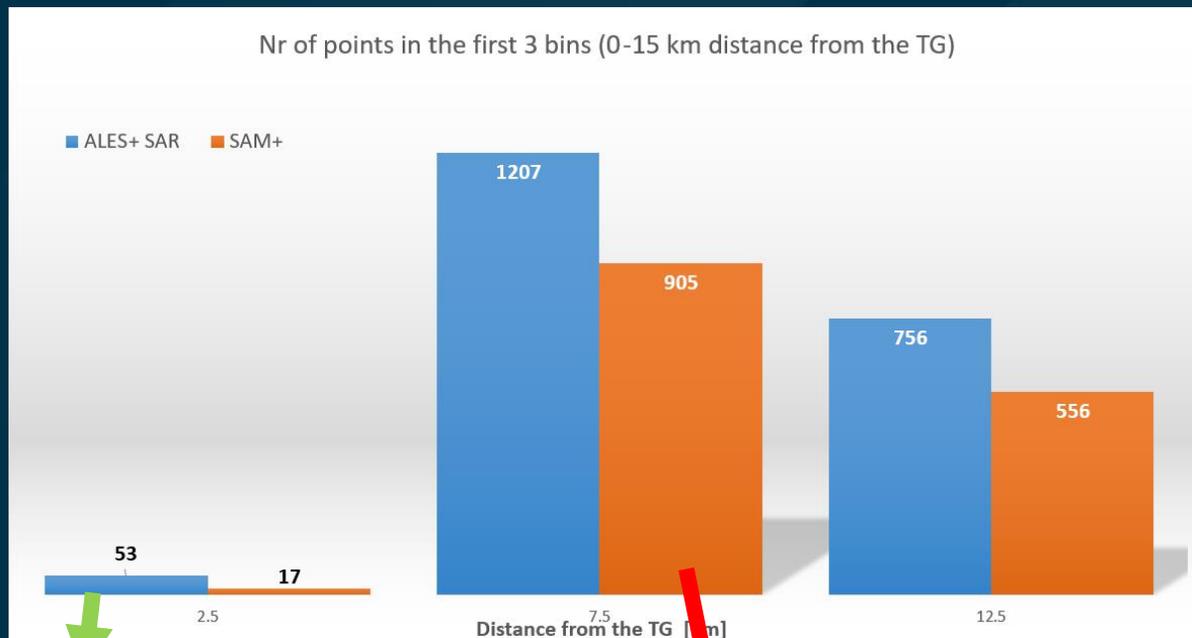
ALES+ SAR: $r= 0.9792$ RMSE: 0.042 m (7 cycles)
SAM+ : $r= 0.9632$ RMSE: 0.062 m (5 cycles)

ALES RMSE			
Cycle Nr.	Pass Nr.	0-5 km	5-10km
13	358	nan	0.027
14	358	nan	0.034
15	358	nan	0.030
16	358	0.049	0.040
17	358	nan	0.042
18	358	nan	0.062
19	358	nan	0.039
20	358	nan	0.037
21	358	nan	0.062
22	358	0.035	0.089
23	358	nan	0.043
24	358	nan	0.060
25	358	nan	0.062
26	358	0.006	0.158
27	358	nan	0.043
28	358	nan	0.040
29	358	0.076	0.036
30	358	nan	0.059
31	358	nan	0.053
32	358	nan	0.046
33	358	nan	0.039
34	358	nan	0.048
35	358	0.052	0.066
36	358	nan	0.054
37	358	nan	0.040
38	358	nan	0.075
39	358	0.041	0.083
40	358	0.015	0.051
41	358	nan	0.041
42	358	nan	0.053
43	358	nan	0.029
44	358	nan	0.043
45	358	nan	0.034

SAM+ RMSE			
Cycle Nr.	Pass Nr.	0-5 km	5-10km
13	358	nan	0.038
14	358	nan	0.034
15	358	nan	0.055
16	358	0.080052	0.059
17	358	nan	0.037
18	358	nan	0.075
19	358	nan	0.050
20	358	nan	0.050
21	358	nan	0.071
22	358	nan	0.066
23	358	nan	0.052
24	358	nan	0.068
25	358	nan	0.070
26	358	0.01004	0.045
27	358	nan	0.044
28	358	nan	0.056
29	358	0.015181	0.057
30	358	nan	0.048
31	358	nan	0.038
32	358	nan	0.049
33	358	nan	0.047
34	358	nan	0.038
35	358	0.075338	0.092
36	358	nan	0.059
37	358	nan	0.045
38	358	nan	0.113
39	358	0.064609	0.071
40	358	nan	0.056
41	358	nan	0.075
42	358	nan	0.072
43	358	nan	0.049
44	358	nan	0.061
45	358	nan	0.050

With (left) & Without (right) MISFIT filtering:

Filtering on SLA & Median $\pm 3 \times \text{STD}$ is sufficient to obtain good results.



ALES+ SAR: $r= 0.9792$ RMSE: 0.042 m

SAM+ : $r= 0.9632$ RMSE: 0.062 m

ALES+ SAR: $r= 0.9807$ RMSE: 0.03972

SAM+ : $r= 0.9786$ RMSE: 0.04247

ALES+ SAR: $r= 0.9766$ RMSE: 0.0415 m

SAM+ : $r= 0.9810$ RMSE: 0.03213 m

ALES+ SAR: $r= 0.9811$ RMSE: 0.03934

SAM+ : $r= 0.9781$ RMSE: 0.04260

In the range 5-10 km from the TG:

- With the approach based only on the MISFIT, we obtain comparable results for ALES+ retracker in the range 5-10km from the TG ($r > 0.94$, RMSE: 0.07 (asc) & 0.04 (dsc) m.
- SAMOSA+ results are aligned with ALES+ SAR results retracker in the range 5-10km from the TG. GPOD MISFIT filters more data than the ALES+ SAR misfit.
- SLA & Median $\pm 3 \cdot \text{STD}$ play a major role in correctly filtering the data.

In the range 0-5 km from the TG:

- Descending tracks: good results have been obtained for both retrackerers. ALES+ SAR allows considering some more data points/cycles.
- Ascending tracks: ALES+ allows having more points (all cycles contribute to the ascending pass, but results are not as good as SAMOSA in which the MISFIT filters more data).
- SLA & Median $\pm 3 \times \text{STD}$ play a major role in correctly filtering the data.

Being the ALES+ retracker very appreciated by the altimetry community, a collaboration has started between the ESA GPOD Team, already hosting the successful SARvatore services portfolio for unfocused SAR & SARin altimetry, and TU Munich to make the ALES+ SAR retracker available both in:

- 1) Standalone services, with ALES+ SAR applied on official L1b Cryosat-2 & Sentinel-3 SAR waveforms, and
- 2) SARvatore for Cryosat-2 & Sentinel-3 services, with ALES+ SAR results appended in a separate output folder. The latter will allow GPOD users directly comparing SARvatore SAMOSA retracker outputs to ALES+ SAR results increasing their research possibilities.

The service is open, free of charge and accessible online from everywhere. In order to be granted the access to the service, an EO-SSO (Earth Observation Single Sign-On) ID is needed and can be created at <https://earth.esa.int/web/guest/general-registration>. Once registered, an e-mail to the G-POD team (eo-gpod@esa.int) shall be sent requesting the activation of the ALES+ SAR & SARvatore services for the created EO-SSO user account.

The screenshot shows the ESA GPOD (Grid Processing on Demand) web interface. At the top left is the ESA logo and the text "grid processing on demand". A navigation bar contains links for "esa", "Home", "Services", "Workspace", "Catalogue", "Products", "Schedulers", "My profile", "Admin", and "Documentation". Below the navigation bar is a search bar with a "Search" button and a user profile box showing "Name: Marco Restano" and "Credits: 9" with a "Logout" button. The main content area is titled "Services list" and displays four service cards: "TUDaBo SAR-RDSAR" with a satellite image, "ALES_PLUS_SAR" with a satellite image, "ARCTIC_MAP_DEV" with the text "ARCTIC_MAP_DEV" in blue, and "EnviProj - Antarctica ASAR Mapping System" with a satellite image of Antarctica.

ALES_PLUS_SAR

1- DATA SELECTION

2- PROGRESSING STATUS

3- RESULTS VISUALIZATION

Save in Workspace

Process it!

For layers and overlays selection, click on the plus icon on the top-right of the map.
For more details on their usage, please refer to the instructions at the bottom of the page.

The screenshot shows a web interface for ALES+ SAR data processing. It features a world map with various navigation and selection tools. On the left, there are input fields for geographical selection (lon, lat) and date selection (start date, stop date). Below the map, there are several data layers listed, including 'CRYOSAT SIRAL L1B-SAR mode product [SIR_SAR_1B]', 'S3A_SR_2_WAT', and 'S3B_SR_2_WAT'. At the bottom, there are fields for 'Relative Orbit Number' and 'Timeliness' set to 'All', along with a 'Query' button.

Processing Parameters

Add the input file to the output

ALES+ SAR is a subwaveform retracker for open ocean and coastal zone SAR altimetry data*. ALES+ SAR adopts a simplified version of the Brown-Hayne functional form (which is the functional form for pulse-limited altimetry) as an empirical retracker to track the leading edge of the waveform.

The processor uses either official CryoSat-2 L1b products (only CryoSat-2 Baseline D SIR_SAR_L1B data are supported**) or Sentinel-3 WAT L1b products and produces ALES+ SAR L2 NetCDF products including the fields indicated in the section below. The output products will include all the data points of the selected tracks.

*ALES+ SAR is not conceived for the inland water domain, therefore, Sentinel-3 LAN products are not injected into the system.
**At the moment, only data from May 2019 are available in the GPOD catalogue.

The following fields are produced as output of ALES+ SAR:

- **[lat_20_ku]**: Latitude at 20 Hz in degrees north.
- **[lon_20_ku]**: Longitude at 20 Hz in degrees, in the range [0-360°] or [-180 +180°] according to the selected mission.
- **[range_ales_20_ku]**: This is the altimetric range in meters. It corresponds to the distance between the satellite to-surface range (calculated by measuring the time taken for the signal to make the round trip).
- **[range_ales_qual_20_ku]**: This is a 1-0 quality flag based on the fitting quality of the leading edge of the signal. A value of 1 corresponds to a bad quality flag. Note that this flag does not exclude the presence of further wrong retrievals in the product. A careful outlier analysis is strongly suggested.
- **[ssb_ales_20_ku]**: This is the sea state bias correction to be applied to the [range_ales_20_ku] when computing the sea surface height. It is computed empirically based on the proportionality between the wave height and the rising time of the leading edge in the waveform.
- **[time_20_ku]**: time in seconds since 2000-01-01 00:00:00.0.

For further information on the ALES+ SAR retracker and on how Range and Sea State Bias are computed, please check the Algorithm Theoretical Baseline Document of the ESA Baltic SEAL Project, available from <http://balticseal.eu/outputs/>

ALES+ SAR is an algorithm under research&development, which is subject to updates.

Different phases of the ALES+ SAR development have been funded by the following ESA Projects:

- Sea Level Climate Change Initiative (ESA Contract No. 4000126561/19/I-NB)
- Baltic+ Sea Level (ESA Contract No. 4000126590/19/I/BG)
- HYDROCOASTAL (ESA Contract No. 4000129872/20/I-DT)

Feedbacks concerning the algorithm can be provided to its author, Marcello Passaro (marcello.passaro@tum.de).

Further information on this algorithm can be found in <http://doi.org/10.5270/esa.BalticSEAL.ATBDV1.1>.

When using the output of ALES+ SAR for any scientific abstract/publication, please also cite Passaro et al. (2018):

Passaro, M., Rose, S. K., Andersen, O. B., Boergens, E., Calafat, F. M., Dettmering, D., & Benveniste, J. (2018). ALES+: Adapting a homogenous ocean retracker for satellite altimetry to sea ice leads, coastal and inland waters. Remote Sensing of Environment, 211, 456-471.

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Name: Marco Restano
Credits: 9

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Services list

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SARInvatore for CryoSat-2

SARvatore for CryoSat-2

SARvatore for CryoSat-2 DEV

SARvatore for SENTINEL3

Name SARvatore for SENTINEL3

Classification B

Rating

Service Description SARvatore for Sentinel-3 (SAR Versatile Altimetric Toolkit for Ocean Research and Exploitation) is a Prototype Software Processor developed by S. Dinardo to experiment with Sentinel-3 SAR Altimetry processing exploiting the SAMOSA model and the Delay-Doppler principle. It can be used over open ocean or coastal zone, as well as more difficult

ALES+ SAR IN GPOD/ SARvatore services



SARvatore for SENTINEL3

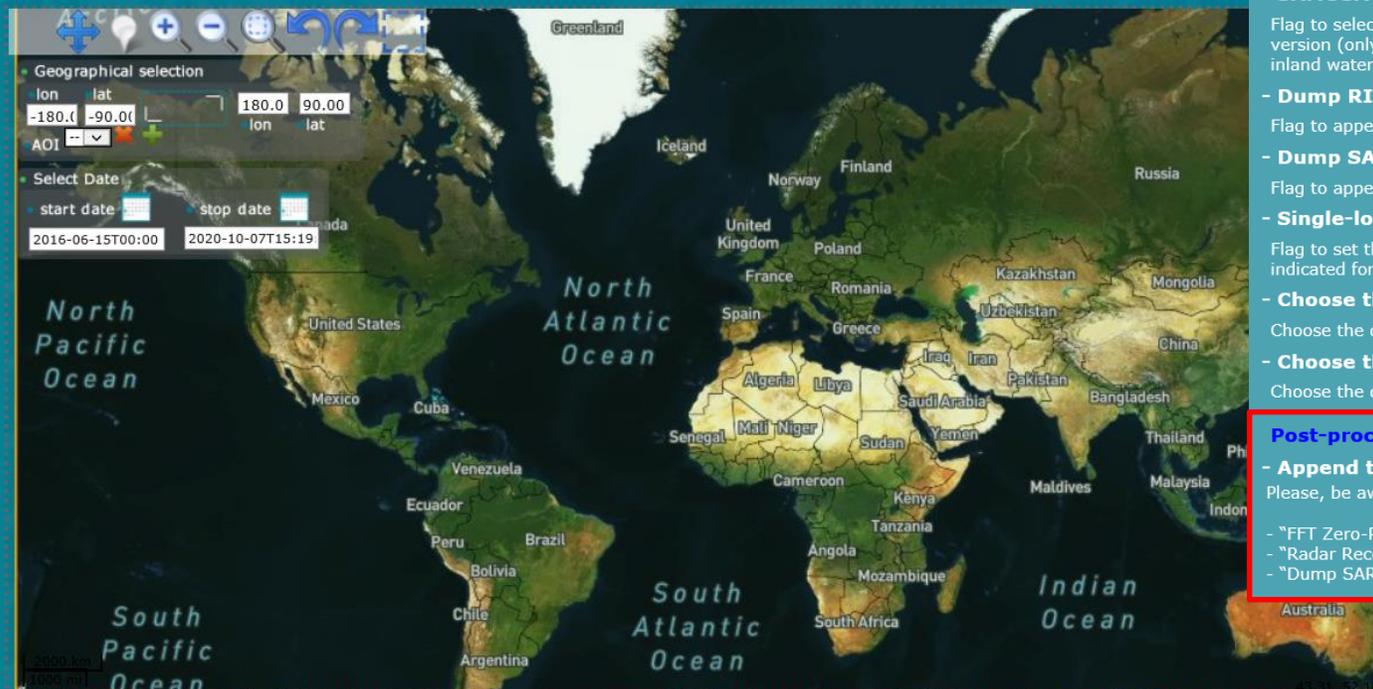
1- DATA SELECTION

2- PROGRESSING STATUS

3- RESULTS VISUALIZATION

Save in Workspace Process it!

For layers and overlays selection, click on the plus icon on the top-right of the map.
For the Distance Measurement Tool (DTM) select a layer with the DTM feature.
For more details, please refer to the instructions at the bottom of the page.



L2 Processor:

- Restrict the re-tracking on specific surfaces

Flag to limit the processing on open sea or on water (open sea, coastal zone and inland water) or to process the full pass

Process only water

- PTR width alphap parameter

Use a LUT (Look-Up Table) or a constant for PTR (Point Target Response) alphap parameter

LUT

- SAMOSA Model Generation

Flag to select the generation of the SAMOSA model to use in the re-tracking. SAMOSA3 is a truncated version (only zero order term) of SAMOSA2 (REF2), SAMOSA+ is the SAMOSA2 model tailored for inland water, sea ice and coastal zone domain

Use SAMOSA2

- Dump RIP in output

Flag to append Range Integrated Power (RIP) in the output netCDF data product

No

- Dump SAR Echo Waveforms in output

Flag to append the SAR Echo Waveforms in the output netCDF data product

No

- Single-look or Multi-look Model

Flag to set the application of the Model Multilooking (Single-Look or Multi-Look). Single-Look option is indicated for quick look operations while Multi-Look is the most accurate

Multi-look

- Choose the default Tide Model

Choose the default Tide model between FES2014b, TPX08-ATLAS and TPX09-ATLAS

FES2014b

- Choose the default Mean Sea Surface Model

Choose the default Mean Sea Surface Model between DTU18, DTU15 and CLS-CNES15

DTU18

Post-processing:

- Append the ALES+ SAR output to the output netcdf product

Please, be aware that ALES+ SAR option is not selectable in case:

No

- "FFT Zero-Padding" is applied in the L1b processing options above.
- "Radar Receiving Window Size" exceeds 128 bins in the L1b processing options above.
- "Dump SAR Echo Waveforms in output" is set to "No" in the L2 processing options above.



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ALES+ SAR is a subwaveform retracker for open ocean and coastal zone SAR altimetry data*. ALES+ SAR adopts a simplified version of the Brown-Hayne functional form (which is the functional form for pulse-limited altimetry) as an empirical retracker to track the leading edge of the waveform.

ALES+ SAR L2 NetCDF products will be placed into a dedicated output folder and will include the fields indicated in the section below.

*ALES+ SAR is not conceived for the inland water domain.

The following fields are produced as output of ALES+ SAR:

- **[lat_20_ku]**: Latitude at 20 Hz in degrees north.
- **[lon_20_ku]**: Longitude at 20 Hz in degrees [-180° to +180°].
- **[range_ales_20_ku]**: This is the altimetric range in meters. It corresponds to the distance between the satellite the satellite-to-surface range (calculated by measuring the time taken for the signal to make the round trip).
- **[range_ales_qual_20_ku]**: This is a 1-0 quality flag based on the fitting quality of the leading edge of the signal. A value of 1 corresponds to a bad quality flag. Note that this flag does not exclude the presence of further wrong retrievals in the product. A careful outlier analysis is strongly suggested.
- **[ssb_ales_20_ku]**: This is the sea state bias correction to be applied to the [range_ales_20_ku] when computing the sea surface height. It is computed empirically based on the proportionality between the wave height and the rising time of the leading edge in the waveform.
- **[time_20_ku]**: time in seconds since 2000-01-01 00:00:00.0.

For further information on the ALES+ SAR retracker and on how Range and Sea State Bias are computed, please check the Algorithm Theoretical Baseline Document of the ESA Baltic SEAL Project, available from <http://balticseal.eu/outputs/>.